



03/03/03

PATENT APPLICATION

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3-20-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Yoshiaki NAKAJIMA and Naoya OGAWA
Serial no.	:	10/035,770
Filed	:	December 26, 2001
For	:	ELECTRONIC TRANSACTION SYSTEM
Group Art Unit	:	2181
Examiner	:	
Docket	:	ADACHI P222US

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with this matter, the Applicant hereby attaches one (1) United States Patent Office Form PTO-1449 and copies of the information listed in the enclosed PTO-1449 Form, unless otherwise indicated on such Form.

English language abstracts or translations, of all or part of listed relevant foreign language information, is submitted herewith.

The "Insurance Square Bang!" article is an explanation of a service to select and present the most suitable item for a user.

The "Derivatives Keywords 280" article providing an explanation of "derivatives guarantee services".

The "E-retail-Innovation to Change Distribution" article describing that auction sites serve the function of a guarantee against transaction trouble, that such auction sites enhance credit guarantee function between transactors as well as broker the transaction of B to C or C to C, which leads to activate sales and purchases of expensive items, and that it would be possible that auction sites buy goods from some consumers and sell them to other consumers, which leads to further activate sales and purchases.

10/035,770

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,



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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 3, 2003.

By: \_\_\_\_\_

Print Name: Michael J. Bujold

(Rev. 2-82)  
PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Sub- Class	Filing Date, if Appropriate
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## FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Date	Country	Sub- Class	Class	Translation Yes No
	10-222581	08/21/1998	JAPAN	B06F	17/60	(see attached ABSTRACT)

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

"Insurance Square Bang!", *NIKKEI Multimedia for Business* No. 46, published in Japan: Nikkei Business Publications, Inc., April 15, 1999, pp. 86-89.

AMEMIYA, Hideo, "Derivatives Keywords 280", published in Japan: Kinsai Institute for Financial Affairs, Inc., First Edition, May 29, 2000, p. 301.

KOYAMA, Shuzo, "E-retail-Innovation to Change Distribution", published in Japan: Toyokeizaishinpo-sha, First Edition, December 26, 2000, pp. 112-113, 134-136.

Examiner	Date Considered
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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